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JUN 28 2005

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To: Commissioner for Patents for Examiner Kevin R. Schubert Group Art Unit 2137	Facsimile No.: (703) 872-9306
From: Stephanie Fay Legal Assistant to Mari Stewart	No. of Pages Including Cover Sheet: 3
Message: Enclosed herewith: <ul style="list-style-type: none">• Applicant Initiated Interview Request Form (PTOL-413A); and• Agenda for Telephone Interview.	
Re: Application No. 09/935,395 Attorney Docket No.: AUS920010449US1	
DATE: Tuesday, June 28, 2005	
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PTOL-413A (09-04)
Approved for use through 07/31/2008. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Applicant Initiated Interview Request Form

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Application No.: 09/935,395 First Named Applicant: Batra, Gaurav
Examiner: Schubert, Kevin R. Art Unit: 2137 Status of Application: Pending - JUN 28 2005
1st Office Action

Tentative Participants:

(1) Mari Stewart (2) Kevin Schubert
(3) _____ (4) _____

Proposed Date of Interview: July 8, 2005Proposed Time: 10:00 (AM/PM)
Central Time

Type of Interview Requested:

(1) ☒ Telephonic (2) ☐ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☐ YES ☒ NO
If yes, provide brief description: _____

Issues To Be Discussed

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>102 Rej.</u>	<u>Claim 1</u>	<u>D'Sa Bendinelli</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) <u>103 Rej.</u>	<u>Claims 2-4</u>	<u>Bendinelli in view of Pfeiffer</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Continuation Sheet Attached

Brief Description of Arguments to be Presented:

See attached agenda.

An interview was conducted on the above-identified application on _____.
NOTE: This form should be completed by applicant and submitted to the examiner in advance of the interview
(see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this
interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b))
as soon as possible.


Applicant/Applicant's Representative Signature

Mari Stewart

Typed/Printed Name of Applicant or Representative

50,359

Registration Number, if applicable

Examiner/SPE Signature

This collection of information is required by 37 CFR 1.433. The information is required to obtain or retain a benefit by the public which is to file (and by the
USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 121 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to
complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any
comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer,
U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS
TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICEIn re application: **Batra et al.**Serial No.: **09/935,395**Filed: **August 23, 2001****For: Standard Format Specification
for Automatically configuring IP
Security Tunnels**§
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§Group Art Unit: **2137**Examiner: **Shubert, Kevin R**Attorney Docket No.: **AUS920010449US1****RECEIVED
CENTRAL FAX CENTER****JUN 28 2005****AGENDA FOR TELEPHONE INTERVIEW**

Sir:

I would like to request a telephone interview on July 8, 2005. Please consider the following topics for discussion:

- **Bendinelli and Pfeiffer, either alone or in combination, fail to teach or suggest**
 - a security policy specification format as a DTD file (claim 2);
 - including a plurality of different elements in said DTD file, each of said plurality of different elements being utilized to configure an IP security tunnel (claim 3); and
 - generating an XML file utilizing a plurality of said plurality of different elements included within said DTD file; and processing said XML file to automatically configure an IP security tunnel (claim 4).

The Examiner is invited to call at the below-listed telephone number to confirm or reschedule the requested telephone interview.

Mari Stewart
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Dallas, TX 75380
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